ANNEX I

SCHEDULE OF PERÚ

1. Sector: All Sectors

Obligations Concerned: National Treatment (Article 10.3)

Level of Government: Central

Measures: Constitución Política del Perú (1993), artículo 71

Decreto Legislativo N° 757, Diario Oficial "El Peruano" del 13 de noviembre de 1991, Ley Marco para el Crecimiento de la Inversión

Privada, artículo 13

Description: <u>Investment</u>

No foreign national, enterprise organized under foreign law or enterprise organized under Peruvian law and owned in whole or part, directly or indirectly, by foreign nationals may own, directly or indirectly, land or water (including a mine, forest land, or energy sources) located within 50 kilometers of the Peruvian border. The Council of Ministers may authorize exceptions through a Supreme

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Decree in cases of expressly declared public necessity¹.

¹ For example, authorizations have been granted in the mining sector.

2. Sector: Free-to-Air Radio Transmission

Obligations Concerned: National Treatment (Article 10.3)

Local Presence (Article 11.5)

Level of Government: Central

Measures: Ley N° 28278, Diario Oficial "El Peruano" del 16 de julio de 2004,

Ley de Radio y Televisión, artículo 24.

Description: <u>Investment and Cross-Border Services</u>

Only Peruvian nationals or juridical persons organized under Peruvian law and domiciled in Peru may be authorized or licensed to offer free-to-air radio or television broadcast services. Foreign nationals may not own more than 40 percent of the total shares or equity interest in such a juridical person and must be owners, shareholders, or hold an equity interest in a radio or television broadcast

enterprise in their country of origin.

No foreign national may receive or hold an authorization or a

license directly or through a sole proprietorship.

3. Sector: Audio-Visual Services

Obligations Concerned: National Treatment (Article 11.2)

Performance Requirements (Article 10.9)

Level of Government: Central

Measures: Ley N° 28278, Diario Oficial "El Peruano" del 16 de julio de 2004,

Ley de Radio y Televisión, Octava Disposición Complementaria y

Final.

Description: <u>Investment and Cross-Border Services</u>

At least 30 percent, on average, of the total weekly programs by

free-to-air broadcasters between the hours of 5:00 and 24:00 must

be produced in Peru.

4. Sector: Radio Broadcasting

Obligations Concerned: National Treatment (Article 10.3)

Most Favored Nation Treatment (Article 10.4)

Level of Government: Central

Measures: Decreto Supremo N° 005-2005-MTC, Diario Oficial "El Peruano"

del 15 de febrero de 2005, Reglamento de la Ley de Radio y

Televisión, artículo 20.

Description: <u>Investment</u>

If a foreign national is, directly or indirectly, a shareholder, partner, or associate in a juridical person, that juridical person may not hold a broadcasting authorization in a zone bordering that foreign national's country of origin, except in a case of public necessity authorized by

the Council of Ministers.

This restriction does not apply to juridical persons with foreign equity which have two or more current authorizations, as long as

they are of the same frequency band.

5. Sector: All Sectors

Obligations Concerned: National Treatment (Article 11.2)

Level of Government Central

Measures: Decreto Legislativo N° 689, Diario Oficial "El Peruano" de 05 de

noviembre de 1991, Ley para la Contratación de Trabajadores

Extranjeros, artículos 1 y 5 (modificado por Ley N° 26196).

Description: <u>Cross-Border Services</u>

An employer in Peru shall give preferential treatment to nationals

when hiring employees.

Foreign natural persons who are service suppliers and who are employed by service-supplier companies may provide services in Peru upon execution of a written employment agreement for a set period of time not to exceed 3 years, which may be subsequently extended for equal periods of time. The employment agreement must establish the commitment to train national personnel in the same

occupation.

6. Sector: Notary Services

Obligations Concerned: National Treatment (Articles 10.3, 11.2)

Market Access (Article 11.4)

Level of Government: Central

Measures: Decreto Ley N° 26002, Diario Oficial El Peruano del 27 de

Diciembre de 1992, Ley del Notariado. Artículos 5 (modificado por

Ley N° 26741) y Artículo 10 (modificado por Ley N° 27094)

Description: <u>Investment and Cross-Border Services</u>

Only a Peruvian national by birth may supply notary services.

The number of notary positions is limited as follows:

(a) 200 for the Capital;

(b) 40 for department capitals; and

(c) 20 for provincial capitals (including the Constitutional

Province of Callao)

7. Sector: Architecture Services

Obligations Concerned: National Treatment (Articles 10.3, 11.2)

Market Access (Article 11.4)

Level of Government: Central

Measures: Ley N° 14085, Diario Oficial "El Peruano" del 30 de junio de 1962,

Ley de Creación del Colegio de Arquitectos del Perú.

Ley Nº 16053, Diario Oficial "El Peruano" del 14 de febrero de 1966, Ley del Ejercicio Profesional, Autoriza a los Colegios de Arquitectos e Ingenieros del Perú para supervisar a los profesionales de Ingeniería y Arquitectura de la República, artículo

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Acuerdo del Consejo de Arquitectos, del 06 de octubre de 1987

Description: Investment and Cross-Border Services

To practice as an architect in Peru, an individual must join the appropriate Colegio de Arquitectos and pay a fee in accordance with the following schedule:

- (a) US \$250 for a Peruvian national with a degree from a Peruvian university;
- (b) US \$400 for a Peruvian national with a degree from a foreign university; or
- (c) US \$3,000 for a foreign national with a degree from a foreign university.

Also, to obtain temporary registry, nonresident foreign architects must have a contract of association with a Peruvian architect residing in Peru.

8. Sector: Security Services

Obligations Concerned: National Treatment (Article 11.2)

Senior Management and Boards of Directors (Article 10.10)

Level of Government: Central

Measures: Decreto Supremo N° 005-94-IN, Diario Oficial "El Peruano"

del 12 de mayo de 1994, Reglamento de Servicios de

Seguridad Privada, artículos 81 y 83.

Description: <u>Investment and Cross-Border Services</u>

A security watchman must be a Peruvian national by birth.

A senior manager of an enterprise that supplies security services must be a Peruvian national by birth and be a

resident of Peru.

9. Sector: Bullfighting

Obligations Concerned: National Treatment (Article 11.2)

Level of Government: Central

Measures: Ley N° 28131, Diario Oficial "El Peruano" del 18 de

diciembre de 2003, Ley del Artista, Intérprete y Ejecutante,

artículo 28.

Description: <u>Cross-Border Services</u>

At least one bullfighter of Peruvian nationality must

participate in any bull fighting event. At least one bullfighter of Peruvian nationality must participate in fights involving

young bulls.

10. Sector: Audio-Visual Services

Obligations Concerned: National Treatment (Article 11.2)

Performance Requirements (Article 10.9)

Level of Government: Central

Measures: Ley No. 28131, Diario Oficial "El Peruano" del 18 de

diciembre de 2003, Ley del Artista, Intérprete y Ejecutante,

artículos 25 y 45.

Description <u>Investment and Cross-Border Services</u>

A free-to-air radio or television broadcasting enterprise must dedicate at least 10 per cent of daily programming to folklore, national music and series or programs produced in Peru on

the history, literature, culture or current issues in Peru.

For greater certainty, nothing in Chapter 11 other than Article

11.2 prohibits Peru from maintaining this requirement.

11. Sector: Customs Warehouses

Obligations Concerned: Local Presence (Article 11.5)

Level of Government: Central

Measures: Decreto Supremo Nº 08-95-EF, Diario Oficial "El Peruano"

del 5 de febrero de 1995, Aprueban el Reglamento de

Almacenes Aduaneros, artículo 7.

Description: <u>Cross-Border Services</u>

Only natural or juridical persons domiciled in Peru may apply

for an authorization to operate a customs warehouse.

12. Sector: Air Transport

Specialty Air Services

Obligations Concerned: National Treatment (Articles 10.3, 11.2)

Local Presence (Article 11.5)

Senior Management and Boards of Directors (Article 10.10)

Level of Government: Central

Measures: Ley N° 27261, Diario Oficial "El Peruano" del 10 de mayo del

2000, Ley de Aeronáutica Civil, artículo 79.

Decreto Supremo N° 050-2001-MTC, Diario Oficial "El Peruano" del 26 de diciembre de 2001, Reglamento de la Ley de Aeronáutica Civil, artículos 159, 160 y VI Disposición

Complementaria.

Description: Investment and Cross-Border Services

National Commercial Aviation Service is reserved to a Peruvian natural or juridical person². National Commercial Aviation Service includes specialty air services.

A Peruvian juridical person is an enterprise that fulfils the following requirements:

- (a) is constituted under Peruvian law and with its principal activities and administration located in Peru:
- (b) at least half plus one of the directors, managers and persons who control or manage the enterprise are Peruvian nationals or normally resident in Peru; and
- (c) at least 51 percent of the capital must be owned by Peruvian nationals and be under the real and effective control of Peruvian shareholders or partners permanently domiciled in Peru. (This limitation shall not apply to the enterprises constituted under law N° 24882, which may maintain the ownership percentages set in such law). Six months after the date of authorization of the enterprise to provide commercial air transportation services, foreign nationals or foreign citizens may own up to 70% of the capital of the enterprise.

13. Sector: Specialty Air Services

Obligations Concerned: National Treatment (Article 11.2)

Level of Government: Central

Measures: Ley N° 27261, Diario Oficial "El Peruano" del 10 de mayo de

2000, Ley de Aeronáutica Civil, artículo 75.

Description: <u>Cross-Border Services</u>

Only Peruvian nationals may perform aeronautical functions for

national commercial aviation suppliers ("explotadores

nacionales"), which are suppliers that hold an Operating Permit of

Flight Permit.

For greater certainty, an individual is not a senior manager for purposes of Article 10.10 if the individual's sole duties are as an

aircraft pilot or captain.

14. Sector: Maritime Transport

Obligations Concerned: National Treatment (Articles 10.3, 11.2)

Market Access (Article 11.4) Local Presence (Article 11.5)

Senior Management and Boards of Directors (Article 10.10)

Level of Government Central

Measures: Ley N° 28583, Ley de Reactivación y Promoción de la Marina

Mercante Nacional, Diario Oficial "EL Peruano" del 22 de julio de

2005. Artículos 4.1, 6.1, 7.1, 7.2. 7.4, 13.6

Decreto Supremo Nº 028 DE/MGP, Diario Oficial "El Peruano" de 25 de mayo de 2001, Reglamento de la Ley Nº 26620, artículo I-

010106, literal a)

Description: <u>Investment and Cross-Border Services</u>

1.Only a "National shipowner" or "National Ship Enterprise" may supply maritime transportation. A "National shipowner" or "National Ship Enterprise" means a Peruvian national or juridical person organized under Peruvian law, with its principal domicile and real and effective headquarters in Peru, whose business is to provide water transportation services for cabotage or international traffic and which is the owner or lessee under a financial lease or a bareboat charter, with an obligatory purchase option, of at least one Peruvian flag merchant ship and that has obtained the relevant Operation Permit from the General Aquatic Transport Directorate.

- 2. At least 51 percent of the capital must be owned by Peruvian citizens.
- 3. The chairman of the board of directors, a majority of the directors, and the General Manager of a National Ship Enterprise must be nationals and resident in Peru.
- 4. The captain of the Peruvian-flagged vessels must be a Peruvian national. For greater certainty, in cases where no Peruvian is qualified, a foreign national may be authorized to serve as captain.

- 5. Only a Peruvian national may be a licensed harbor pilot.
- 6. Only Peruvian flagged vessels owned by a National Shipowner or National Ship Enterprise or leased under a financial lease or a bareboat charter, with an obligatory purchase option may provide water cabotage, except as provided for in the following paragraph.
- 7. Twenty-five per cent of the transport of hydrocarbons in national waters is reserved for the boats of the Peruvian Navy.
- 8. Foreign-flagged vessels may be used by a National Shipowner or National Ship Enterprise for a period of no more than six months for water transportation when such an entity does not own its own vessels or lease vessels according to paragraph 6 of this entry and only for transportation that is exclusively between Peruvian ports.

15. Sector: Maritime Transport and Related Services

Obligations Concerned: National Treatment (Article 11.2)

Local Presence (Article 11.5)

Level of Government: Central

Measures: Decreto Supremo Nº 056-2000-MTC, Diario Oficial "El

Peruano" del 31 de diciembre de 2000, Disponen que servicios de transporte marítimo y conexos realizados en bahías y áreas portuarias deberán ser prestados por personas naturales y

jurídicas autorizadas, con embarcaciones y artefactos de bandera

nacional, artículo 1.

Resolución Ministerial Nº 259-2003-MTC/02, Diario Oficial "El Peruano" del 4 de abril de 2003, Aprueban Reglamento de los servicios de Transporte Acuático y Conexos Prestados en

Tráfico de Bahía y Areas Portuarias, artículos 5 y 7.

Description: <u>Cross-Border Services</u>

The following water transport and related services supplied in bay and port areas must be supplied by natural persons domiciled, and juridical persons constituted and domiciled, in Peru, properly authorized with Peruvian flag vessels and

equipment:

Fuel replenishment services; Mooring and unmooring services;

Diving services; Victualing services; Dredging services;

Harbor pilotage services; Waste collection services; Tug boat services; and Transport of persons.

16. Sector: Maritime Tourist Transport

Obligations Concerned: Local Presence (Article 11.5)

Level of Government: Central

Measures: Resolución Suprema Nº 011-78-TC-DS, 6 de febrero de 1978,

Reglamento de Empresas de Transporte Turístico, artículo 13.

Description: <u>Cross-Border Services</u>

Only natural persons domiciled in Peru or juridical persons

constituted and domiciled in Peru may provide tourist water

transportation services.

17. Sector: Port Services

Obligations Concerned: National Treatment (Article 11.2)

Level of Government Central

Measures: Ley N° 27866, Diario Oficial "El Peruano" del 16 de noviembre de

2002, Ley del Trabajo Portuario, artículos 3 y 7.

Description: <u>Cross-Border Services</u>

Only a Peruvian citizen may register as a "port worker".

A "port worker" means a natural person who, subject to a port employer, performs services specified by domestic regulation related to port work such as: stevedore, "tarjador", "winchero",

"gruero", "portalonero", "levantador de costado de nave.

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18. Sector: Land Transport of Passengers

Obligations Concerned: Market Access (Article 11.4)

Level of Government: Central

Measures: Decreto Supremo N° 032-2002-MTC, Diario Oficial "El

Peruano" del 12 de julio de 2002, Modifican artículos del Reglamento Nacional de Administración de Transporte y suspenden incremento de flota vehicular para el Servicio Público

de Transporte Nacional de Pasajeros, artículo 2

Decreto Supremo N° 035-2002-MTC, Diario Oficial "El Peruano" del 01 de julio de 2003, Amplían suspensión de otorgamiento de nuevas concesiones a que se refiere el Decreto Supremo N° 032-2002-MTC y modifican artículo del Reglamento Nacional de Administración de Transportes, artículo

1

Decreto Supremo N° 009-2004-MTC, Diario Oficial "El Peruano" del 3 de marzo de 2004, Reglamento Nacional de administración de transporte terrestre, Décimo Cuarta

Disposición Transitoria.

Decreto Supremo N° 038-2004-MTC, Diario Oficial "El Peruano" del 13 de noviembre de 2004, Modifican artículos y anexos I y II del Reglamento Nacional de Administración de

Transportes, artículo 5.

Description: <u>Cross-Border Services</u>

The granting of new concessions for inter-provincial passenger

transport along specific routes is suspended.

19. Sector **Land Transport**

Obligations Concerned: Local Presence (Article 11.5)

Level of Government: Central

Measures: Decreto Supremo N° 009-2004-MTC, Diario Oficial "El Peruano"

del 27 de febrero de 2004, Reglamento Nacional de Administración

de Transportes, artículos 47 y 48

Description Cross-Border Services

> A supplier of land transport services in Peru must certify that it maintains ground terminals, offices, route stations, and stops in the territory of Peru, according to the corresponding transport services.

> The transport enterprise must have its own installations, or those of third parties, properly maintained for the management of the enterprise, which will constitute its legal domicile, where the competent authority can conduct the inspections and verifications it

deems necessary.

20. Sector Archaeological Services

Obligations Concerned: National Treatment (Article 11.2)

Level of Government: Central

Measures: Resolución Suprema No. 004-2000-ED, Diario Oficial "El

Peruano" del 25 de Enero de 2000, Reglamento de Investigaciones

Arqueológicas, artículo 30.

Description <u>Cross-Border Services</u>

Archaeological research projects headed by foreign archaeologists must employ a Peruvian archaeologist registered with the National Registry of Archaeologists as scientific co-director or sub-director of the project. The co-director and sub-director shall participate in

all aspects of the Project in the field and in the office.

21. Sector: Services Related to Energy Services

Obligations Concerned: National Treatment (Article 11.2)

Local Presence (Article 11.5)

Level of Government: Central

Measures: Ley Nº 26221, Diario Oficial "El Peruano" del 19 de agosto de

1993, Ley General de Hidrocarburos, artículo 15.

Description: <u>Cross-Border Services</u>

In order to enter into an exploration contract in Peru, foreign enterprises must establish a branch or constitute as a society under the General Law of Societies domiciled in Lima, and must appoint a Peruvian national as an executive agent. Foreign nationals must register in the Public Registry and provide a power of attorney to a

Peruvian national resident in Peru.